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October 18, 2006

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Art Unit 1645

Mail Stop: Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Appl. No. 10/550,580; § 371 Date: September 23, 2005

U.S. National Phase of Intl. Appl. No. PCT/EP04/003163

International Filing Date: March 25, 2004 **HIV-Peptide-Carrier-Conjugates** Inventors: Martin F. BACHMANN et al. Our Ref: 1700.0610001/BJD/WBC

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement Under 37 C.F.R. § 1.97(b);
- 2. Form PTO-1449 (9 pages) citing seventy (70) documents (AA1-AD3 and AL1-AO9);
- 3. Copies of forty-four (44) cited documents (AL1-AP1; AL2-AP2; AL3-AP3; AL4-AP4; AL5-AP5; AL6-AP6; AL7-AP7; AL8-AP8; AL9-AO9);
- 4. Form PTO/SB/08B (9 pages) citing eighty-six (86) documents (NPL1-NPL86);
- 5. Copies of eighty-six (86) cited documents (NPL1-NPL10; NPL11-NPL20; NPL21-NPL30; NPL31-NPL40; NPL41-NPL50; NPL51-NPL60; NPL61-NPL70; NPL71-NPL80; and NPL81-NPL86); and
- 6. One (1) Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox P.L.C. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

Commissioner for Patents October 18, 2006 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Brian J. Del Buono Attorney for Applicants Registration No. 42,473

BJD/WBC:cpn Enclosures

596538_1.DOC

OCT. 1 A 2008

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Martin F. BACHMANN et al.

Appl. No.: 10/550,580

(U.S. National Phase of Intl. Appl. No.

PCT/EP04/003163)

Filed: September 23, 2005

(Int'l Filing Date: March 25, 2004)

For: HIV-Peptide-Carrier-Conjugates

Confirmation No.: 8355

Art Unit:

1645

Examiner:

To Be Assigned

Atty. Docket: 1700.0610001/BJD/WBC

Information Disclosure Statement Under 37 C.F.R. § 1.97(b)

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Forms, PTO-1449 and PTO/SB/08B, are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Copies of documents AL1 to AO9 and NPL1 to NPL86 are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), copies of U.S. patents and patent application publications, AA1 to AD3, cited on the attached IDS Form, PTO-1449, are not submitted.

In accordance with 37 C.F.R. § 1.97(a)(3), Applicants' undersigned representative submits the following discussion of the relevance of the non-English language documents AN1 and AP3 cited on Form PTO 1449:

Document AN1, EP 0 201 416 B1, is in a foreign language. An English language abstract of document AN1 is attached as NPL86.

Document AP3, WO 99/28478 A1, is in a foreign language. An English language abstract of document AP3 is provided on the face page of the document.

The Examiner's attention is directed to the following co-pending U.S. Patent Applications, which are directed to related technical subject matter:

Application No. 10/112,653, inventors Krieg *et al.*, filed March 29, 2002; published on March 13, 2003 as U.S. Publication No. 2003/0050268 A1; listed as Document **AC3**; and

Application No. 09/789,649, inventors Valmori *et al.*, filed February 21, 2001; published on May 1, 2003 as U.S. Publication No. 2003/0082804; listed as Document **AD3**.

The identification of these U.S. Patent Applications is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Martin F. BACHMANN *et al.* Appl. No. 10/550,580

- 3 -

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Brian J. Del Buono Attorney for Applicants Registration No. 42,473

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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FORM PTO-1449	3
INFORMATION DISCLOSURE	STATEMEN

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ATTY. DOCKET NO. 1700.0610001/BJD/WBC APPLICATION NO. 10/550,580 Page 1 of 9

FIRST NAMED INVENTOR Bachmann, M.

FILING DATE September 23, 2005 ART UNIT 1645

			U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA1	4,722,840	02/02/1988	Valenzuela et al.			09/19/1985
	AB1	4,959,314	09/25/1990	Mark et al.			02/07/1985
	AC1	5,143,726	09/01/1992	Thornton et al.			11/20/1989
	AD1	5,334,394	08/02/1994	Kossovsky et al.			01/04/1993
	AE1	5,565,548	10/15/1996	Neurath et al.			03/15/1993
	AF11	5,698,424	12/16/1997	Mastico et al.			06/26/1992
	AG1	5,844,075	12/01/1998	Kawakami et al.			04/05/1995
	AH1	5,871,747	02/16/1999	Gengoux-Sedlik et al.			09/13/1993
-	Al1	5,874,560	02/23/1999	Kawakami et al.			04/22/1994
	AJ1	5,935,821	08/10/1999	Chatterjee et al.			11/21/1996
	AK1	5,939,598	08/17/1999	Kucherlapati et al.			07/30/1992
			FOREIGN I	PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL1	EP 0 038 154 B1	10/21/1981	Europe			Yes No
	AM1	EP 0 385 610 B1	09/05/1990	Europe			Yes No
	AN1	EP 0 201 416 B1	04/10/1991	Europe			X Yes No
	AO1	EP 0 421 635 A1	04/10/1991	Europe			Yes No
	AP1	WO 92/13081 A1	08/06/1992	WIPO			Yes No
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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ATTY. DOCKET NO. 1700.0610001/BJD/WBC	APPLICATION NO. 10/550,580	
FIRST NAMED INVENTOR		
Bachmann, M.		
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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT

EXAMINER	1	DOCUMENT	1	PATENT DOCUMENTS			T
NITIAL		NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA2	5,994,523	11/30/1999	Kawakami et al.			01/16/1998
	AB2	6,025,470	02/15/2000	Valmori et al.			06/23/1997
	AC2	6,231,864 B1	05/15/2001	Birkett			02/11/1999
	AD2	6,270,778 B1	08/07/2001	Kawakami et al.			03/12/1999
	AE2	6,277,956 B1	08/21/2001	Valmori et al.			04/16/1998
_	AF2	6,326,200 B1	12/04/2001	Valmori et al.			06/18/1998
	AG2	6,368,857 B1	04/09/2002	Valmori et al.			10/05/1999
	AH2	6,380,364 B1	04/30/2002	Mueller et al.		,	10/06/1999
	Al22	6,384,190 B1	05/07/2002	Valmori et al.			12/14/1999
	AJ2	6,537,560 B1	03/25/2003	Kawakami et al.			05/05/1998
	AK2	6,627,202 B2	09/30/2003	Murray			06/04/2001
	1 .		FOREIG	N PATENT DOCUME	NTS		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL2	EP 0 578 293 A1	01/12/1994	Europe			Ye N
	AM2	WO 94/15585	07/21/1994	WIPO			Ye N
	AN2	WO 95/29193 A2	11/02/1995	WIPO			Ye N
	AO2	WO 96/30523 A2	10/03/1996	WIPO			Ye N
	AP2	EP 0 677 111 B1	10/18/1995	Europe			Ye N
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA3	6,719,978 B2	04/13/2004	Schiller et al.			04/13/2001
	AB3	6,965,017 B2	11/15/2005	Kawakami et al.			07/03/2001
	AC3	2003/0050268 A1	03/13/2003	Krieg et al.			03/29/2002
	AD3	2003/0082804 A1	05/01/2003	Valmori et al.			02/21/2001
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL3	WO 98/40100 A1	09/17/1998	WIPO			Yes No
	АМЗ	WO 98/50071 A1	11/12/1998	WIPO			Yes No
	AN3	WO 98/49195 A1	11/05/1998	WIPO			Yes No
	AO3	WO 98/58751 A1	12/30/1998	WIPO			Yes X No
	AP3	WO 99/28478 A1		WIPO			Yes No
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	AL4	WO 99/40934 A1	08/19/1999	WIPO			Yes No
	AM4	WO 99/57289 A2	11/11/1999	WIPO			Yes No
	AN4	WO 00/23955 A1	04/27/2000	WIPO			Yes No
	AO4	WO 00/32227 A2	06/08/2000	WIPO			Yes No
	AP4	WO 00/37610 A2	06/29/2000	WIPO			Yes No
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	. AL5	WO 00/39304 A2	07/06/2000	WIPO			Yes No
	AM5	WO 00/50461 A1	08/31/2000	WIPO			Yes No
	AN5	WO 00/62800 A2	10/26/2000	WIPO			Yes No
	AO5	WO 01/16320 A1	03/08/2001	WIPO			Yes No
	AP5	WO 01/22972 A2	04/05/2001	WIPO			Yes No
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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT

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INTIAL			1/22990 A2	04/05/2001	WIPO		LASS	SUB-CLASS	Yes
	AL6	 	1/56603 A1	08/09/2001	WIPO				No Yes
	AM6		1/58478 A1	08/16/2001	WIPO				No Yes
	AN6	 	1/77158 A1	10/18/2001	WIPO				No Yes
	AO6	 	1/85208 A2	11/15/2001	WIPO				No Yes
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	AL7	WO 01/98333 A2	12/27/2001	WIPO				Yes No
	AM7 -	WO 02/14478 A2	02/21/2002	WIPO				Yes No
	AN7	WO 02/053141 A2	07/11/2002	WIPO				Yes No
	A07	WO 02/056905 A2	07/25/2002	WIPO				Yes No
	AP7	WO 02/056907 A2	07/25/2002	WIPO				Yes No
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	AL8	WO 03/024480 A		WIPO			Yes No
	AM8	WO 03/024481 A	2 03/27/2003	WIPO			Yes No
	AN8	WO 03/031466 A	2 04/17/2003	WIPO			Yes No
	AO8	WO 03/039225 A	2 05/15/2003	WIPO			Yes No
	AP8	WO 03/040164 A	2 05/15/2003	WIPO			Yes No
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	AL9	WO 03/059386 A2	07/24/2003	WIPO			Yes No
	AM9	WO 2004/000351 A1	12/31/2003	WIPO			Yes No
	AN9	WO 04/007538 A2	01/22/2004	WIPO			Yes No
	AO9	WO 2004/016282 A1	02/26/2004	WIPO			Yes No
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PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

no persons are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperv duction Act of Substitute for form 1449/PTO Complete if Known 10/550,580 Application Number INFORMATION DISCLOSURE Filing Date September 23, 2005 First Named Inventor Martin F. BACHMANN STATEMENT BY APPLICANT Art Unit 1645 (Use as many sheets as necessary) **Examiner Name** To Be Assigned 1700.0610001/BJD/WBC Sheet 1 of 9 Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T²
	NPL1	Adams, S.E., et al., "The expression of hybrid HIV:Ty virus-like particles in yeast," Nature 329:68-70, Nature Publishing Group (1987)	
	NPL2	Addo, M.M., et al., "Comprehensive Epitope Analysis of Human Immunodeficiency Virus Type 1 (HIV-1)-Specific T-Cell Responses Directed Against the Entire Expressed HIV-1 Genome Demonstrate Broadly Directed Responses, but No Correlation to Viral Load," J. Virol. 77:2081-2092, American Society for Microbiology (2003)	
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1				Application Number	10/550,580	
INFORMA	TION	DISC	CLOSURE	Filing Date	September 23, 2005	
STATEME	NT BY	Z API	PLICANT	First Named Inventor	Martin F. BACHMANN	
			s necessary)	Art Unit	1645	
				Examiner Name	To Be Assigned	
Sheet	2	of	9	Attorney Docket Number	1700.0610001/BJD/WBC	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
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				Application Number	10/550,580
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	September 23, 2005
				First Named Inventor	Martin F. BACHMANN
				Art Unit	1645
				Examiner Name	To Be Assigned
Sheet	8	of	9	Attorney Docket Number	1700.0610001/BJD/WBC

		NON PATENT LITERATURE DOCUMENTS	·
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
	NPL71	Smiley, B.K., and Minion, F.C., "Enhanced readthrough of opal (UGA) stop codons and production of <i>Mycoplasma pneumoniae</i> P1 epitopes in <i>Escherichia coli</i> ," <i>Gene 134</i> :33-40, Elsevier Science Publishers B.V. (1993)	
	NPL72	Sparwasser, T., et al., "Immunostimulatory CpG-Oligodeoxynucleotides Cause Extramedullary Murine Hemopoiesis," J. Immunol. 162:2368-2374, American Association of Immunologists (1999)	
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	NPL80	Turner, B.G., and Summers, M.F., "Structural Biology of HIV," J. Mol. Biol. 285:1-32, Academic Press (1999)	

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	NPL81	Van Schooten, W., et al., "Biological properties of dendritic cells: implications to their use in the treatment of cancer," Mol. Med. Today 255:254-260, Elsevier Science Ltd. (1997)	
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